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Patent Number	7094378
Issue Date	08/22/2006
Application Number	09/596429
Filing Date	06/15/2000
First Named Inventor	Raymond GOODRICH et al.
Attorney Docket Number	PB0141-US01 (old GWS 27-98B)

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☐ Patentee.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☐ Attorney or agent of record. Registration Number \_\_\_\_\_

Signature

Typed or  
Printed Name Laura Butterfield Arciniegas, Assistant Secretary

Date

09-23-2010

Telephone 303-231-4270

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop Post Issue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: CaridianBCT Biotechnologies, LLCApplication No./Patent No.: 7094378Filed/Issue Date: 08/22/2006Titled: Method and Apparatus for Inactivation of Biological Contaminants Using Photosensitizers

CaridianBCT Biotechnologies, LLC

, a Delaware limited liability company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventors To: Gambro, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 010908, Frame 0501, or for which a copy thereof is attached.

2. From: Gambro, Inc. To: Navigant Biotechnologies, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 018787, Frame 0354, or for which a copy thereof is attached.

3. From: Navigant Biotechnologies, Inc. To: Navigant Biotechnologies, LLC

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☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Laura B. Arciniegas

Signature

09-23-2010

Date

Laura Butterfield Arciniegas

Assistant Secretary

Printed or Typed Name

Title

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**STATEMENT UNDER 37 CFR 3.73(b)**

(Continued)

4. From: Navigant Biotechnologies, LLC To: CaridianBCT Biotechnologies, LLC

Reel 021301 Frame 0099



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## Patent Assignment Abstract of Title

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## Total Assignments: 5

Patent #: 7094378

Issue Dt: 08/22/2006

Application #: 09596429

Filing Dt: 06/15/2000

Inventors: Raymond Paul Goodrich JR., Dennis Hlavinka

Title: METHOD AND APPARATUS FOR INACTIVATION OF BIOLOGICAL CONTAMINANTS USING PHOTSENSITIZERS

## Assignment: 1

Reel/Frame: 010908/0501

Recorded: 06/15/2000

Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: GOODRICH, RAYMOND PAUL, JR.

Exec Dt: 06/14/2000

HLAVINKA, DENNIS

Exec Dt: 06/14/2000

Assignee: GAMBRO, INC.

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5370 MANHATTAN CIRCLE, SUITE 201

BOULDER, CO 80303

## Assignment: 2

Reel/Frame: 018552/0717

Recorded: 11/29/2006

Pages: 34

Conveyance: SECURITY AGREEMENT

Assignor: GAMBRO, INC.

Exec Dt: 11/17/2006

Assignee: CITICORP TRUSTEE COMPANY LIMITED, AS SECURITY AGENT

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Correspondent: MELISSA SCHRADER

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NEW YORK, NY 10019-6131

## Assignment: 3

Reel/Frame: 018787/0354

Recorded: 01/19/2007

Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: GAMBRO, INC.

Exec Dt: 12/22/2006

Assignee: NAVIGANT BIOTECHNOLOGIES, INC.

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## Assignment: 4

Reel/Frame: 019224/0016

Recorded: 04/27/2007

Pages: 6

Conveyance: CONVERSION

Assignor: NAVIGANT BIOTECHNOLOGIES, INC.

Exec Dt: 01/01/2007

Assignee: NAVIGANT BIOTECHNOLOGIES, LLC

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**Assignment: 5****Reel/Frame:** 021301/0099**Recorded:** 07/28/2008**Pages:** 4**Conveyance:** CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).**Assignor:** NAVIGANT BIOTECHNOLOGIES, LLC**Exec Dt:** 07/14/2008**Assignee:** CARIDIANBCT BIOTECHNOLOGIES, LLC.

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